



**Summit** Strategies Group

# OPERATIONAL DUE DILIGENCE

Q3 2013

The economic insecurity caused by the great recession eroded investor confidence, forcing increased scrutiny and regulatory oversight in the alternative asset market. Investors now seek protection and assurances that their portfolios have been vetted against operational risk using verified data and independent evaluation. Summit Strategies' Operational Due Diligence (ODD) team supplies institutional investors with the necessary tools, facts, and expertise to ensure their investments are as safe as possible.

## Core Services

- In-depth Business & Financial Review
- Fund Expense Reduction
- Portfolio Liquidity Analysis
- Valuation & Investor Reporting Evaluation
- Service Provider Verification

## Additional Services

- Comprehensive Documentation
- External Audit Assistance
- Background Check Reviews
- Ongoing Staff Support

## HOW WE DIFFER

### VAST KNOWLEDGE OF ALTS UNIVERSE



Summit's ODD team works in concert with the Alternative Manager Research teams. We reduce non-investment related loss by asking, "Does this firm have the characteristics to minimize the probability of operational failure or fraud?"

### INDEPENDENT BY DESIGN, WITH VETO AUTHORITY



In the event of a dispute over manager selection, the ODD team has veto power over Summit's internal Investment Committee. ODD has strict guidelines for alternative investment managers Summit recommends, so clients can be assured their best interests are the sole priority and not influenced by intrinsic motives.

### VALUE PROVIDER



Clients are inundated with information provided by fund managers and regulatory agencies. Outsourcing your operational due diligence can be a cost-effective way to protect your investments, supplement your current staff, or serve as a standalone team.